

Computational Models - Lecture 6¹

Handout Mode

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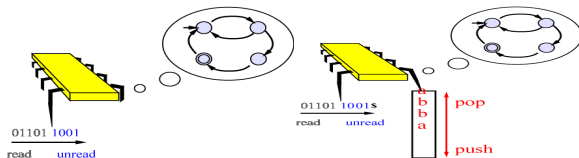
Tel Aviv University.

April 4/6, 2016

¹Based on frames by Benny Chor, Tel Aviv University, modifying frames by Maurice Herlihy, Brown University. Also with modifications of Yishay Mansour.

Outline

- ▶ Push Down Automata (PDA)
- ▶ Closure properties for CFL and testing properties.
- ▶ **Equivalence** of CFGs and PDAs



- ▶ Sipser's book, 2.1, 2.2 & 2.3

Part I

Push-Down Automata: Review

Diagram Notation

When drawing the automata diagram, we use the following notation

- ▶ Transition from state q to state q' labelled by $a, b \rightarrow c$ means $(q', c) \in \delta(q, a, b)$,
and informally means the automata
 - ▶ read a from input
 - ▶ pop b from stack
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 - ▶ read a from input
 - ▶ pop b from stack
 - ▶ push c onto stack
- ▶ Meaning of ε transitions ((informally):
 - ▶ $a = \varepsilon$: don't read input
 - ▶ $b = \varepsilon$: don't pop any symbol
 - ▶ $c = \varepsilon$: don't push any symbol

How to define $\widehat{\delta}(q, w, s)$ contains (q', s') ?

Given (start) state q , substring w of the input, and s, s' descriptions of strings on a stack:

There is a legal way to get from state q with stack contents s to state q' with stack contents s' by reading from w at each step.

Model of Computation

The following is with respect to $M = (Q, \Sigma, \Gamma, \delta, q_0, F)$.

Definition 1 (δ^*)

For $w \in \Sigma^*$ let $\widehat{\delta}(q, w, s)$ be all pairs $(q', s') \in Q \times \Gamma^*$ for which exist $w'_1, \dots, w'_m \in \Sigma_\varepsilon$, states $r_1, \dots, r_m \in Q$ and strings $s_0, s_1, \dots, s_m \in \Gamma^*$ s.t.:

1. $w = w'_1, \dots, w'_m$, $r_0 = q$, $r_m = q'$, $s_0 = s$ and $s_m = s'$
2. For every $i \in \{0, \dots, m-1\}$ exist $a, b \in \Gamma_\varepsilon$ and $t \in \Gamma^*$ s.t.:
 - 2.1 $(r_{i+1}, b) \in \delta(r_i, w'_{i+1}, a)$
 - 2.2 $s_i = at$ and $s_{i+1} = bt$

Namely, $(q', s') \in \widehat{\delta}(q_0, w, \varepsilon)$ if after reading w (possibly with in-between ε moves), M can find itself in state q' and stack value s' .

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Namely, $(q', s') \in \widehat{\delta}(q_0, w, \varepsilon)$ if after reading w (possibly with in-between ε moves), M can find itself in state q' and stack value s' .

- M accepts $w \in \Sigma^*$ if $\exists q' \in \mathcal{F}$ such that $(q', t) \in \widehat{\delta}(q_0, w, \varepsilon)$ for some t .

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It is convenient to be able to know when the stack is **empty**, but there is **no built-in mechanism** to do that.

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Solution

1. Start by pushing **\$** onto stack.
2. When you see it again, stack is empty.

Example 3 – Palindrome

A **palindrome** is a string w satisfying $w = w^R$.

- ▶ “Madam I’m Adam”
- ▶ “Dennis and Edna sinned”
- ▶ “Red rum, sir, is murder”
- ▶ “Able was I ere I saw Elba”
- ▶ “In girum imus nocte et consumimur igni” (Latin: “we go into the circle by night, we are consumed by fire”.)
- ▶ “*νιψον ανομηματα μη μοναν οψιν*”
- ▶ Palindromes also appear in nature. For example as DNA **restriction sites** – short genomic strings over $\{A, C, T, G\}$, being cut by (naturally occurring) **restriction enzymes**.

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- ▶ “ $\nu\psi\theta\upsilon\nu$ $\alpha\nu\theta\mu\eta\mu\alpha\tau\alpha$ $\mu\eta$ $\mu\theta\nu\alpha\nu$ $\theta\psi\iota\nu$ ”
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What the difference from $\{ww^R\}$?

A PDA for Palindromes

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Input: $x \in \Sigma^*$

1. Start pushing x into stack.
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- ▶ This PDA accepts palindromes of **even length** over the alphabet (all lengths is an easy modification).
- ▶ Again, non-determinism (at which point to make the switch) **seems** necessary.

PDA Languages

The Push-Down Automata Languages, \mathcal{L}_{PDA} , is the set of all languages that can be described by some PDA:

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Part II

Closure Properties

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 - ▶ Star: $\mathcal{S}_{new} \rightarrow \varepsilon \mid \mathcal{S}_{old} \mid \mathcal{S}_{old} \mathcal{S}_{new}$
- ▶ What about complement and intersection?

Intersection idea?

- ▶ Idea: Can't we run two PDA's in **parallel**, and accept iff both accept??

Intersection

$$S_1 \rightarrow A_1 B_1$$

$$A_1 \rightarrow 0A_11|\varepsilon$$

$$B_1 \rightarrow 2B_1|\varepsilon$$

$$\mathcal{L}_1 = 0^n 1^n 2^*$$

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- ▶ So.. we can't we run two PDA's in **parallel**, and accept iff both accept.
- ▶ What about intersection of a CFL with a **regular** language?

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 - ▶ Formal details omitted (**but you should be able to figure them out**).

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 - ▶ So \mathcal{L} is not a context free language
- ▶ This could also be established using pumping lemma, but proof above is more elegant.

Closure under complementation?

CFLs are closed under **union**. If CFLs are also closed under **complementation**, then they would be closed under **intersection** because of:

$$\mathcal{L}_1 \cap \mathcal{L}_2 = \overline{\overline{\mathcal{L}_1} \cup \overline{\mathcal{L}_2}}$$

But, CFLs are **not** closed under **intersection**, so they **cannot be closed** under **complementation**.

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 - ▶ \mathcal{L} are the strings of length 2ℓ for which **for all** $1 \leq i \leq \ell$, $w_i = w_{i+\ell}$.
 - ▶ $\overline{\mathcal{L}}$ are strings for which either (1) $|w|$ is odd, or (2) $|w|$ is even and **there exists** i , for which $w_i \neq w_{i+\ell}$.

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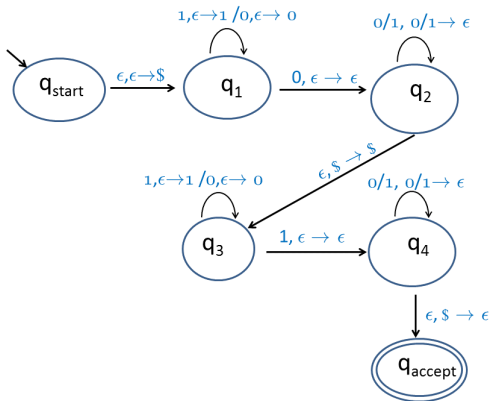
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- ▶ and that $\bar{\mathcal{L}}_{\text{even}}^{\sigma} = \{ \{0, 1\}^k \sigma \{0, 1\}^k \{0, 1\}^j \bar{\sigma} \{0, 1\}^j : k, j \geq 0 \}$

Complementation cont.

- ▶ For any $y \in \bar{\mathcal{L}}$, either
 - ▶ y 's length is **odd**, or
 - ▶ y 's length is **even**, 2ℓ , and $\exists i \geq 1$ such that $y_i \neq y_{\ell+i}$.
- ▶ It suffices to construct a PDA/CFG for $\bar{\mathcal{L}}_{\text{even}}$ – the **even length** members of $\bar{\mathcal{L}}$ (**why?**)
- ▶ Let $\bar{\mathcal{L}}_{\text{even}}^{\sigma} = \{ \{0, 1\}^k \sigma \{0, 1\}^j \{0, 1\}^k \bar{\sigma} \{0, 1\}^j : k, j \geq 0 \}$
- ▶ Note that $\bar{\mathcal{L}}_{\text{even}} = \bar{\mathcal{L}}_{\text{even}}^0 \cup \bar{\mathcal{L}}_{\text{even}}^1$
- ▶ and that $\bar{\mathcal{L}}_{\text{even}}^{\sigma} = \{ \{0, 1\}^k \sigma \{0, 1\}^k \{0, 1\}^j \bar{\sigma} \{0, 1\}^j : k, j \geq 0 \}$
- ▶ CFG for $\bar{\mathcal{L}}_{\text{even}}^0$
 - $S \rightarrow AB$
 - $A \rightarrow CAC \mid 0$
 - $B \rightarrow CBC \mid 1$
 - $C \rightarrow 0 \mid 1$

A PDA for $\overline{\mathcal{L}}_{\text{even}}^0$

Idea: Guess $k, j \geq 0$, and accept w if it is of the form:
 $\{0, 1\}^k 0 \{0, 1\}^k \{0, 1\}^j 1 \{0, 1\}^j$



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Part III

Algorithmic Questions

Emptiness of CFGs

Question 3

Given a CFG, G , is $\mathcal{L}(G) = \emptyset$?

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- ▶ **Better Idea:** Can the **start variable** generate a string of **terminals**?
- ▶ **A more holistic approach:** Can a particular variable generate a string of **terminals**?

Checking Emptiness

Idea: Mark variables that can produce a string of terminals

1. Mark all terminal symbols in G .
2. Repeat until no new variable become marked:
Mark any A where $A \rightarrow U_1 U_2 \dots U_k$ and all U_j have already been marked.
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Correctness?

Recall cleanup in the CNF conversion process?

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- ▶ We are not prepared to prove this remarkable fact (**yet**).

Question 7

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Finiteness of CFGs

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First, a useful subroutine.

CLEANUP: Removing redundant variables and terminals

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Mark any A where $A \rightarrow U_1 U_2 \dots U_k$ and all U_j have already been marked.
3. Remove all **unmarked** variables, and any rule they appear in.
4. If S is removed, then $\mathcal{L}(G) = \emptyset$.
5. **Remove any variable A not reachable from S .**
6. **Remove any terminal which does not appear in some rule.**

Back to finiteness of CFGs

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CFGs Inherent Ambiguity

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Given a CFG G , is $\mathcal{L}(G)$ inherently ambiguous?

This means that for **any** CFG that generates $\mathcal{L}(G)$, there is a word in the language with two different parse trees.

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Fact 10

*There is **no** algorithm to solve CFG inherent ambiguity.*

- ▶ We will **not** prove this fact, yet you want to know it to put things in context.

When Are Two CFGs equivalent?

Question 11

Given two CFG G_1 and G_2 , test if $L(G_1) = L(G_2)$.

Is there an algorithm to solve this problem?

Part IV

Equivalence Theorem

The CFG–PDA Equivalence Theorem

Theorem 12

$\mathcal{L}_{\text{PDA}} = \mathcal{L}_{\text{CFG}}$: A language is context free *if and only if* some pushdown automata accepts it.

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Proof sketch follows.

CFL \Rightarrow PDA

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- ▶ We build a PDA $P = (Q, \Sigma, \Gamma, \delta, q_0, F)$, such that on input w it “figures out” if there is a derivation of w using G .

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Answer: It guesses.

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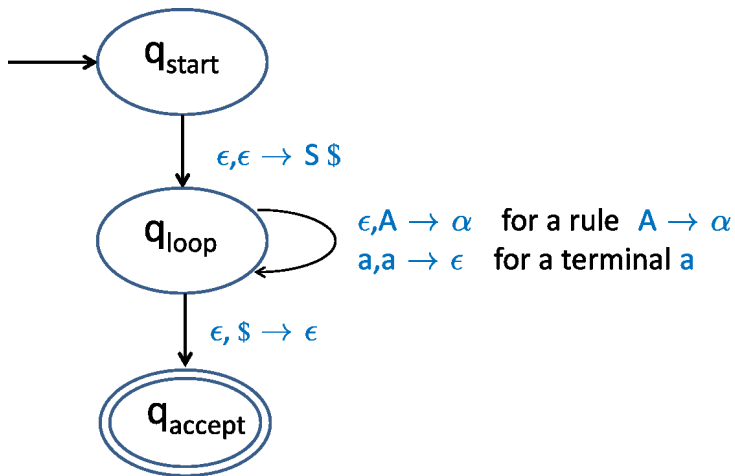
Does it change the derived language?

Informal Description of P

Algorithm 15 (P)

1. Push S on stack
2. While top of the stack t is not $\$$:
 - 2.1 If t is variable A ,
(non-deterministically) select rule $A \rightarrow \alpha$ and substitute (i.e. push α to stack).
 - 2.2 If t is a terminal a ,
read next input and compare; **Reject** if different.
 - 2.3 **Accept** if end of input and stack is empty

State Diagram for P

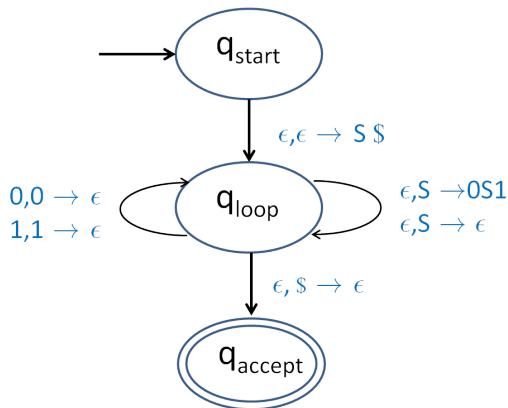


Example

consider the CFG:

$$S \rightarrow 0S1 \mid \epsilon.$$

The related PDA:



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(note that α_1 is made of terminals, α_2 can be variables and terminals)

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Does the above yield that $\mathcal{L}(P) = \mathcal{L}(G)$?

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- ▶ It is easy to see that $(q_{loop}, t w_2 \$) \in \widehat{\delta}(q_{loop}, \alpha'_1 w_1, S \$)$.
- ▶ To complete the proof take $\alpha_1 = \alpha'_1 w_1$ and $\alpha_2 = t w_2$.

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Can we justify the above?

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- ▶ Simulate by $A_{pq} \rightarrow A_{pr}A_{rq}$ where r is intermediate state and P has empty stack.

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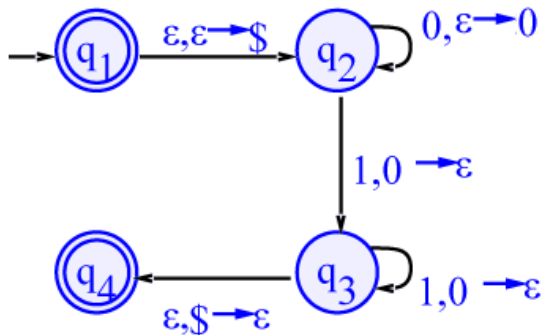
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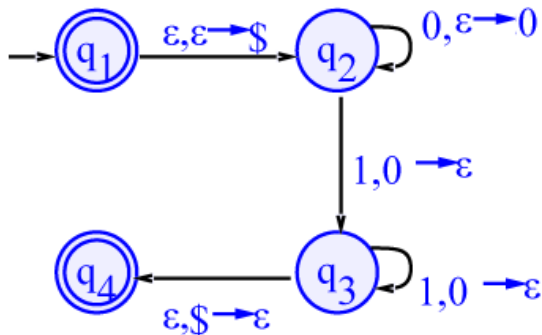
3.2 $(q, \varepsilon) \in \delta(s, b, t)$

add $A_{pq} \rightarrow aA_{r,s}b$ to R

Example PDA to CFG

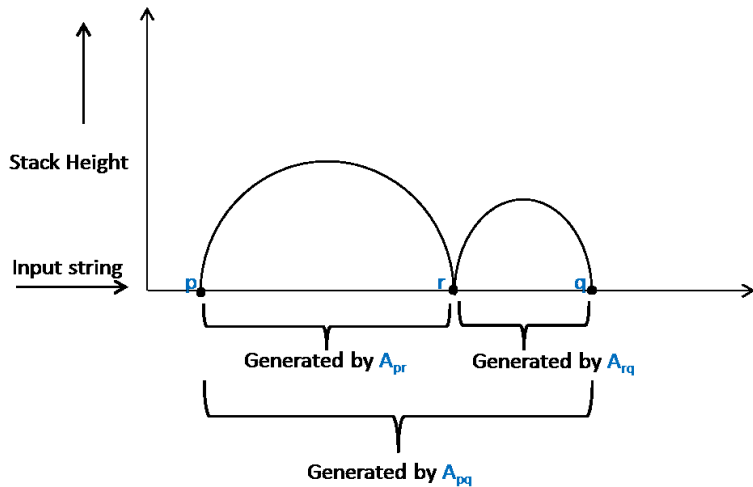


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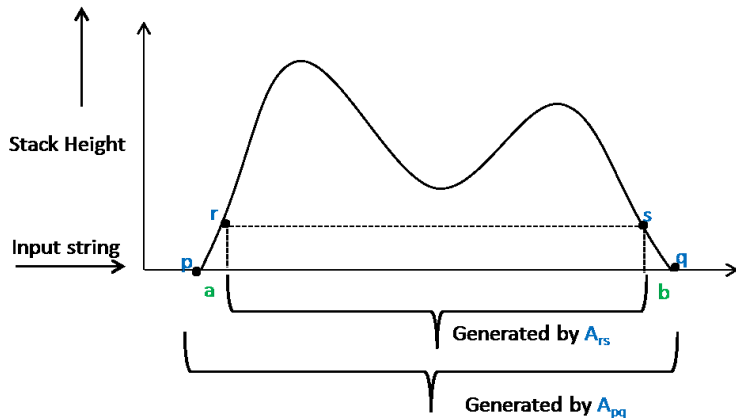


$$\begin{aligned}
 A_{q_1, q_4} &\rightarrow A_{q_2, q_3} \\
 A_{q_2, q_3} &\rightarrow 0A_{q_2, q_3} 1 \\
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 A_{q_2, q_2} &\rightarrow \epsilon.
 \end{aligned}$$

PDA Computation corresponding to $A_{pq} \rightarrow A_{p,r}A_{r,q}$



PDA Computation corresponding to $A_{pq} \rightarrow aA_{r,s}b$



Claim: $\mathcal{L}(G) = \mathcal{L}(P)$

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Proof by induction on the number of derivation rules/ transitions

A Short Summary

- ▶ Regular Languages \equiv Finite Automata.
- ▶ Context Free Languages \equiv Push Down Automata.
- ▶ Closure properties of regular languages and of CFLs.
- ▶ Most algorithmic problems for finite automata are solvable.
- ▶ Some algorithmic problems for finite automata are not solvable.
- ▶ Pumping lemmata for both classes of languages.
- ▶ There are additional languages out there.

The View Over The Horizon

